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Attorney Docket No.: JJI14CIPUS1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Jung, et al.

Serial No.: 10/505,186

Filed: February 2, 2005

For: MINIATURIZED SYSTEM AND  
METHOD FOR MEASURING OPTICAL  
CHARACTERISTICS



Examiner: Lauchman, Layla G.

Art Unit: 2877

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 10/081,879

Filing Date: 02/21/2002

Patent No.: 6,903,813

Serial No.: 11/146,488

Filing Date: 6/6/2005

Patent No.: 7,116,408

Serial No. 11/541,957

Filing Date: 10/02/2006

Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Regarding each listed document that is not in the English language, an English language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following documents(s):

- (a) \_\_\_\_\_ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) ☐ Within 3 months of the filing date or date of entry into the National Stage.

(b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251 for consideration of this Statement.

(c) ☒ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ☐ The required certification is given below, or

(2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ☒ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251.

(d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-0251.

4. Certification (if applicable)

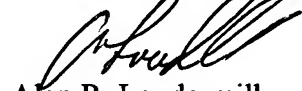
(a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge

after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251.

Respectfully submitted,



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Patent and Trademark Office

Attorney's Docket Number

Serial No.

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(Use several sheets if necessary)

JLL14CIPUS1

10/505,186

Applicant(s): Jung, et al.

Filing Date: Feb. 2, 2005

Group Art Unit: 2877

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3	3	2	7	5	8	4	06/67	Kissinger	356	375	
	3	4	3	6	1	5	7	04/69	H. Adler et al.			04/08/66
	3	5	0	7	0	4	2	04/70	N. A. Hana			04/14/69
	3	5	5	5	2	6	2	01/71	Shimada	235	193	05/07/68
	3	6	8	4	8	6	8	08/72	Christie	235	61.11	
	3	7	4	3	4	2	9	07/73	Kawai			05/17/71
	3	7	4	8	7	4	1	07/73	Yerkes, Jr.	32	71	06/08/72
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	3	8	4	0	6	0	8	02/76	Kissinger	250	227	
	3	9	8	6	7	7	7	10/76	Roll			08/22/74
	4	0	5	4	3	8	8	10/77	Owen	356	419	
	4	1	1	5	9	2	2	09/78	Alderman	32	71	09/20/76
	4	1	2	5	3	2	9	11/78	French et al.	356	405	09/07/76
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	4	2	4	1	7	3	8	12/80	Lübberts	128	666	04/10/78
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	4	9	1	7	5	0	0	04/90	Lugos	356	406	11/30/88
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	5	0	2	8	1	3	9	07/91	Kramer et al.			
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	5	3	0	8	7	7	1	05/94	Zhou et al.	436	39	
	5	3	0	9	2	5	6	05/94	Takada et al.	358	504	
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	5	4	5	0	1	9	3	09/95	Carlsen et al.	356	301	04/11/94
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	5	7	5	9	0	3	0	06/98	Jung et al.	433	29	
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	5	8	7	1	3	5	1	02/99	Jung et al.			
	5	8	8	0	8	2	6	03/99	Jung et al.	433	29	
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	5	9	9	5	2	3	5	11/99	Sui et al.	356	419	
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	6	0	3	7	6	2	9	03/00	Gardner	257	333	
	6	0	3	8	0	1	6	03/00	Jung et al.			
	6	0	3	8	0	2	4	03/00	Berner	356	326	
	6	0	4	0	9	0	2	03/00	Jung et al.	356	73	08/12/97
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	6	8	4	7	4	4	7	01/05	Ozanich	356	326	
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	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	0	1	6	7	7	5	0	01/86	Lingenfelter (EPO)				
	0	6	8	1	2	5	6	11/95	Juffinger (EPO)				
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	01	2	6	2	4	2	8	10/89	Japan			X	
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